# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter G. SCHULTZ et al.

Serial No.: 10/074,745

Filing Date: February 11, 2002

For: COMBINATORIAL SYNTHESIS OF

**NOVEL MATERIALS** 

Examiner: M. Baker
Group Art Unit: 1627

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

# POWER OF ATTORNEY, REVOCATION OF PRIOR POWERS AND CHANGE OF CORRESPONDENCE ADDRESS

Sir:

The Regents of the University of California, a California corporation having its place of business at 1111 Franklin Street, 12<sup>th</sup> Floor, Oakland, California 94607 and Symyx Technologies, Inc., a Delaware corporation having its place of business at 3100 Central Expressway, Santa Clara, California 95051, the assignees of the entire right, title and interest in this patent application, hereby revoke all Powers of Attorney previously granted relating to this application and appoint as their attorneys or agents, with full power of substitution, association, and revocation, to prosecute this patent application and to transact all business in the United States Patent and Trademark Office connected herewith:

Sherry M. Knowles-Registration No. 33,052 Madeline I. Johnston-Registration No. 36,174 Clark G. Sullivan-Registrati n No. 36,942

W. Scott Petty- Registration No. 35,645 Rebecca J. Kaufman-Registrati n N . 44,819 H Imes J. Hawkins-Registration No. 38,913

3204628

Steven P. Wigmore- Registration No. 40,447
Michael S. Pavento-Registration No. 42,985
Shane A. Nichols-Registration No. 43,836
William O. Isaacs, III-Registration No. 44,165
Hoang Vo-Registration No. 47,158
James M. Hannon-Registration No. 48,565

Charles E. Peeler-Registration No. 45,004

Bob Neufeld-Registration No. 48,394

Kristin Chrudimsky-Registration No. 51,561

Stephanie D. Adams-Registration No. 47,378

Natasha Horne Moffitt-Registration No. 53,340

Charles E. Thorpe, Jr.-Registration No. 48,782

all of King & Spalding LLP, 191 Peachtree Street, Atlanta, GA 30303, telephone: (404) 572-4600, said appointment to be to the exclusion of the inventors and their attorneys in accordance with the provisions of 37, Code of Federal Regulations, §3.71 provided that if any one of said attorneys or agents ceases being affiliated with the law firm of King & Spalding LLP as partner, employee or of counsel, such attorney's or agent's appointment as attorney or agent and all powers derived therefrom shall terminate on the date such attorney or agent ceases being so affiliated.

Also appointed are the following attorneys or agents:

Paul A. Stone (Reg. No. 38,628) Ronald A. Krasnow (Reg. No. 33,321) both of Symyx Technologies, Inc. at 3100 Central Expressway, Santa Clara, California 95051, telephone number is (408) 773-4024.

Please direct all communications related to the above-identified patent application to:

Madeline I. Johnston, Esq. King & Spalding 191 Peachtree Street Atlanta, Georgia 30303-1763 (404) 572-4720 office (404) 572-5145 facsimile

Respectfully submitted,

Dated:	<u>₹~₹</u> ,2003	David J. Aston, Esq. Chief Patent Counsel Lawrence Berkeley National Laboratory for The Regents of the University of California a California corporation 1111 Franklin Street, 12 <sup>th</sup> Floor Oakland, CA 94607
Dated:	, 2003	Paul A. Stone Vice President and Chief Patent Counsel for Symyx Technologies, Inc. a Delaware corporation 3100 Central Expressway Santa Clara, CA 95051

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				CERTIFIC	ATE UND	ER 37 C.F.R.	83.73(b)			101101103012
Applica	nt: <u>Pet</u> e	er G. Schultz,	et al.					<del></del>	•	
Applicat	tion No	.: <u>10/074.745</u>	Filed:	February II.	2002		•	·		
For: <u>Co</u>	mbinat	orial Synthesis	of Novel Mat	erials						
The Reg	ents of	the University	of California	. a com	oration				•	
		ame of Assignee)		(Тур	of Assignee	g, corporation,	partnership, univ	ersity, gov	emment agency, e	rtc.) .
and Suma T	Cachnol	ogies, Inc.		0.000	amtian				•	•
SYMYX		ame of Assignee)		тур. (Тур.	of Assignee	e.g., corporation,	partnership, univ	ersity, gov	emment agency, e	etc.)
certify th	nat they	are the assign	ees of the enti						above by virtue	
(X) A.	An a	ssignment fro	m the inventor	(s) of the pat	ent applicat	ion identified	above. The a	ssignme	nts were record	ded in the Patent
<b>^</b>	and	Trademark Oi	fice at Reel 75	18, Frame 05	22 and Ree	17518, Fram	e 0103, or for	which a	copy thereof is	attached.
OR										•
() B.	A ch	main of title fro	m the inventor	(s), of the pa	tent applica	tion identified	i above, to the	current	assignee as she	own belw:.
	1.	From:		т	o:				•	
			ent was record							
		Keel	, Frame _		or for which	a copy there	of is attached.			
	· <b>2</b> .	From:		Т	0:					
	•		ent was record							
		Reel	, Frame _		or for which	a copy there	of is attached.			
	3.	From:		Т	o:					. •
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•		Reel	, Frame _		or for which	a copy there	of is attached.			
	( )	Additional do	cuments in the	chain of title	are listed o	n a suppleme	ntal sheet.			
	()	Copies of assig	gnments or oth	er documents	in the chai	n of title are a	attached.			
The assignee	gnees h	ave reviewed	all the docum	ents in the ci	nain of title	of the paten	t application i	dentifica	d above and, to	o the best of the
	•		are supplied b	_			of the assignee	ts.		
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Date:	•		· :		_	Date:	May	1-30	2003	·
Name:						Name:			eni Counsel	
Title:		· · · · · · · · · · · · · · · · · · ·		<u> </u>	_	Tide:	Symy	x 1e	chnologie	عاد که
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Signature:\_\_\_

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Examiner: M. Baker

Group Art Unit: 1627

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W. Scott Petty- Registration No. 35,645 Rebecca J. Kaufman-Registrati n No. 44,819 H Imes J. Hawkins-Registration No. 38,913

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Natasha Horne Moffitt-Registration No. 53,340
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Respectfully submitted,

Dated:		. 2003	·
			David J. Aston, Esq.
			Chief Patent Counsel
•			Lawrence Berkeley National Laboratory
	٠		for
			The Regents of the University of California
	•		a California corporation
	٠		1111 Franklin Street, 12th Floor
			Oakland, CA 94607
	14 /	<b>2</b> 2	

Paul A. Stone

Vice President and Chief Patent Counsel

for

Symyx Technologies, Inc. a Delaware corporation 3100 Central Expressway Santa Clara, CA 95051

3204628

PATENT APPLICATION Docket No. 10416.105012

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter G. SCHULTZ, et al.

Serial No.:

10/074,745

Filing Date:

February 11, 2002

For:

COMBINATORIAL SYNTHESIS OF

**NOVEL MATERIALS** 

Examiner: M. Baker

Group Art Unit: 1639

# CONSENT OF ASSIGNEE FOR REQUEST TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. § 1.48(a)(5)

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

The Regents of the University of California, an assignee of the above-identified patent application as recorded in the Patent and Trademark Office at Reel 7518, Frame 0103, is fully aware of the request for change of inventorship, and that the corrected inventorship includes Peter G. Schultz, Xiao-Dong Xiang, Isy Goldwasser, Gabriel Briceno, Xiao-Dong Sun and Kai-An Wang. The Regents of the University of California consents to the change in inventorship to add Gabriel Briceno, Xiao-Dong Sun and Kai-An Wang.

The Regents of the University of California,					
Signature: _	Du / Retu				
Name: Title:	David J. Aston, Esq. Chief Patent Counsel Lawrence Berkeley National Laboratory				
Date:	5-28-63				

PATENT APPLICATION Docket No. 10416.105012

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter G. SCHULTZ, et al.

Serial No.:

10/074,745

Filing Date:

February 11, 2002

For:

COMBINATORIAL SYNTHESIS OF

**NOVEL MATERIALS** 

Examiner: M. Baker

Group Art Unit: 1639

# CONSENT OF ASSIGNEE FOR REQUEST TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. § 1.48(a)(5)

Commissioner for Patents Washington, D.C. 20231

Dear Sir:

Symyx Technologies, Inc., an assignee of the above-identified patent application as recorded in the Patent and Trademark Office at Reel 7518, Frame 0522, is fully aware of the request for change of inventorship, and that the corrected inventorship includes Peter G. Schultz, Xiao-Dong Xiang, Isy Goldwasser, Gabriel Briceno, Xiao-Dong Sun and Kai-An Wang. Symyx Technologies, Inc. consents to the change in inventorship to add Gabriel Briceno, Xiao-Dong Sun and Kai-An Wang.

Symyx Technologies, Inc.

Signature:

Name:

Paul A. Stone

Title:

Vice President and Chief Patent Counsel

Date:

May 30, 2003

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter G. SCHULTZ et al.

Serial No.: 10/074,745

Filing Date: February 11, 2002

For: COMBINATORIAL SYNTHESIS OF

**NOVEL MATERIALS** 

Examiner: M. Baker

Group Art Unit: 1627

# STATEMENT BY XIAO-DONG SUN IN SUPPORT OF REQUEST TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. § 1.48(a)(2)

Assistant Commissioner for Patents Washington, D.C. 20231

#### Dear Sir:

- I, Xiao-Dong Sun, declare as follows:
- I reside at 33288 Jamie Circle, Fremont, California 94555;
- 2) I am an inventor of the above-referenced patent application;
- 3) The error in inventorship occurred without deceptive intention on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and

pa=721838

that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Xiao-Dong Sun

Date:

10127/02

Signed at location:

Fremont, CA

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter G. SCHULTZ et al.

Serial No.:

10/074,745

Filing Date: February 11, 2002

For:

COMBINATORIAL SYNTHESIS OF

**NOVEL MATERIALS** 

Examiner: M. Baker

Group Art Unit: 1627

### STATEMENT BY GABRIEL BRICENO IN SUPPORT OF REQUEST TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. § 1.48(a)(2)

Assistant Commissioner for Patents Washington, D.C. 20231

#### Dear Sir:

- I, Gabriel Briceno, declare as follows:
- 1) I reside at 13504 Rexwood Ave., Baldwin Park, California 91706;
- 2) I am an inventor of the above-referenced patent application;
- 3) The error in inventorship occurred without deceptive intention on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application r any patent issuing thereon.

Gabriel Briceno

Date:

Signed at location:

9/23/2002

Office of Blakely, Sokoloff, Taylor & Zalman.

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In the application of:

Peter G. SCHULTZ et al.

Serial No.:

10/074,745

Filing Date:

February 11, 2002

For:

COMBINATORIAL SYNTHESIS OF

**NOVEL MATERIALS** 

Examiner: M. Baker

Group Art Unit: 1627

# STATEMENT BY KAI-AN WANG IN SUPPORT OF REQUEST TO CORRECT INVENTORSHIP PURSUANT TO 37 C.F.R. § 1.48(a)(1)

Commissioner for Patents Washington, D.C. 20231

#### Dear Sir:

- I, Kai-An Wang, declare as follows:
- 1) I reside at 1082 West Hill Court Cupertino, California 95014;
- I am an inventor of the above-referenced patent application;
- 3) The error in inventorship occurred without deceptive intention on my part.

I further declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and

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JUN 17 2003 16:03 FR KING AND SPALDING LLP404 572 5145 TO 7840#10416#10501 P.32/35

that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Kai-An Wang

Date:

4-18-2003

Signed at location:

Cupertino, CA

#### SUBSTITUTE DECLARATION FOR UTILITY PATENT APPLICATION

#### AS BELOW-NAMED INVENTORS, WE HEREBY DECLARE THAT:

Our residences, post office addresses, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: COMBINATORIAL SYNTHESIS OF NOVEL MATERIALS, the specification of which is attached hereto unless the following box is checked:

was filed on February 11, 2002 as United States Application Serial No. 10/074.745.

WE HEREBY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing	Priority	Claimed?
		·	□Yes	□No

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date	

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, we acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status		
08/327,513	October 18, 1994	Patented	□Pending	□Abandoned
09/127,195	July 31, 1998	<b>■</b> Patented	☐Pending	□Abandoned

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

	•	
Date	Name:	Peter G. Schultz
	Residence:	1650 La Jolla Rancho Road, La Jolla, California 92037
	Citizenship:	United States of America
	Post Office Add	ress: 1650 La Jolla Rancho Road, La Jolla, California 92037
Date	Name:	Xiao-Dong Xiang
	Residence:	1036 McCauley Road, Danville, California 94526-1970
•	Citizenship: //	United States of America
	Post Office Add	ress: 1036 McCauley Road, Danville, California 94526-1970
5/1/07		
Date	Name:	Isy Goldwasser
	Residence:	580 Arastradero Road #505, Palo Alto, California 94306
	Citizenship:	United States of America
	Post Office Add	ress: 580 Arastradero Road #505, Palo Alto, California 94306
Date	Name:	Gabriel Briceno
	Residence:	13504 Rexwood Avenue, Baldwin Park, Califonia 91706
	Citizenship:	United States of America
	Post Office Add	ress: 13504 Rexwood Avenue, Baldwin Park, Califonia 91706
Date	Name:	Xiao-Dong Sun
	Residence:	33288 Jamie Circle, Fremont, California 94555
	Citizenship:	People's Republic of China
	-	ress: 33288 Jamie Circle, Fremont, California 94555
	7 007 00000 7.1-1	
	Name:	Kai-An WANG
Date	Residence:	1082 West Hill Court Cupertino, California 95014
	Citizenship:	United States of America
	Post Office Addr	ess: 1082 West Hill Court Cupertino, California 95014

#### SUBSTITUTE DECLARATION FOR UTILITY PATENT APPLICATION

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Date	Name:	Peter G. Schultz
	Residence:	1650 La Jolla Rancho Road, La Jolla, California 92037
	Citizenship:	United States of America
	Post Office Addres	s: 1650 La Jolla Rancho Road, La Jolla, California 92037
Date	Name:	Xiao-Dong Xiang
	Residence:	1036 McCauley Road, Danville, California 94526-1970
	Citizenship:	United States of America
•.	Post Office Addres	s: 1036 McCauley Road, Danville, California 94526-1970
Date	Name:	Isy Goldwasser
	Residence:	580 Arastradero Road #505, Palo Alto, California 94306
	Citizenship:	United States of America
	Post Office Addres	s: 580 Arastradero Road #505, Palo Alto, California 94306
4/30/2003	776	totuspus
Date	Name: X//	Gabriel Briceno
	Residence:	13504 Rexwood Avenue, Baldwin Park, Califonia 91706
	Citizenship: 🀬	United States of America
	Post Office Address	s: 13504 Rexwood Avenue, Baldwin Park, Califonia 91706
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	Residence:	33288 Jamie Circle, Fremont, California 94555
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		ress: 33288 Jamie Circle, Fremont, California 94555
		8
4-18-2063		
	Name:	Kai-An WANG
Date	Residence:	1082 West Hill Court Cupertino, California 95014
	Citizenship:	United States of America
	Post Office Add	ress: 1082 West Hill Court Cupertino, California 95014

#### SUBSTITUTE DECLARATION FOR UTILITY PATENT APPLICATION

AS BELOW-NAMED INVENTORS, WE HEREBY DECLARE THAT:

Our residences, post office addresses, and citizenship are as stated below next to our names.

We believe we are the original, first and joint inventors of the subject matter which is claimed and for which a patent is sought on the invention entitled: COMBINATORIAL SYNTHESIS OF NOVEL MATERIALS, the specification of which is attached hereto unless the following box is checked:

was filed on February 11, 2002 as United States Application Serial No. 10/074,745.

WE HEREBY STATE THAT WE HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS, AS AMENDED BY ANY AMENDMENT REFERRED TO ABOVE.

We acknowledge the duty to disclose information which is material to the patentability as defined in 37 C.F.R. § 1.56.

We hereby claim foreign priority benefits under 35 U.S.C. § 119(a)-(d) or § 365(b) of any foreign application(s) for patent or inventor's certificate, or § 365(a) of any PCT International application which designated at least one country other than the United States listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed:

Application No.	Country	Date of Filing	Priority Claimed?	
			□Yes	□No

We hereby claim benefit under 35 U.S.C. § 119(e) of any United States provisional application(s) listed below:

Application Serial No.	Filing Date

We hereby claim the benefit under 35 U.S.C. § 120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, we acknowledge the duty to disclose information which is material to patentability as defined in 37 C.F.R. § 1.56 which became available between the filing date of the prior application and the national or PCT International filing date of this application.

Application Serial No.	Filing Date	Status		
08/327,513	October 18, 1994	⊞Patented □Pending □Abandoned		
09/127,195	July 31, 1998			

We hereby declare that all statements made herein of our own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

Name: S. Schultz

Residence: 1650 La Jolla Rancho Road, La Jolla, California 92037

Citizenship: United States of America

Post Office Address: 1650 La Jolla Rancho Road, La Jolla, California 92037

Date Name: Xiao-Dong Xiang

Residence: 1036 McCauley Road, Danville, California 94526-1970

Citizenship: United States of America

Post Office Address: 1036 McCauley Road, Danville, California 94526-1970

Date Name: Isy Goldwasser

Residence: 580 Arastradero Road #505, Palo Alto, California 94306

Citizenship; United States of America

Post Office Address: 580 Arastradero Road #505, Palo Alto, California 94306

Date Name: Gabriel Briceno

> Residence: 13504 Rexwood Avenue, Baldwin Park, Califonia 91706 Citizenship:

United States of America

Post Office Address: 13504 Rexwood Avenue, Baldwin Park, Califonia 91706

Date Name: Xiao-Dong Sun

Residence: 33288 Jamie Circle, Fremont, California 94555

Citizenship: People's Republic of China

Kai-An WANG

Post Office Address: 33288 Jamie Circle, Fremont, California 94555

Date Residence: 1082 West Hill Court Cupertino, California 95014

Citizenship: United States of America

Post Office Address: 1082 West Hill Court Cupertin , California 95014

Name:

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Date Name: Peter G. Schultz Residence: 1650 La Jolla Rancho Road, La Jolla, California 92037 Citizenship: United States of America Post Office Address: 1650 La Jolla Rancho Road, La Jolla, California 92037 Date Name: Xiao-Dong Xiang Residence: 1036 McCauley Road, Danville, California 94526-1970 Citizenship: United States of America Post Office Address: 1036 McCauley Road, Danville, California 94526-1970 Date Name: Isy Goldwasser 580 Arastradero Road #505, Palo Alto, California 94306 Residence: Citizenship: United States of America Post Office Address: 580 Arastradero Road #505, Palo Alto, California 94306 Date Name: Gabriel Briceno Residence: 13504 Rexwood Avenue, Baldwin Park, Califonia 91706 Citizenship: United States of America Post Office Address: 13504 Rexwood Avenue, Baldwin Park, Califonia 91706 Date Name: Xiao-Dong Sun Residence: 33288 Jamie Circle, Fremont, California 94555 Citizenship: People's Republic of China Post Office Address: 33288 Jamie Circle, Fremont, California 94555 Name: Kai-An WANG Date Residence: 1082 West Hill Court Cupertino, California 95014 Citizenship: United States of America Post Office Address: 1082 West Hill Court Cupertino, California 95014

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Date	Name:	Peter G. Schultz
	Residence:	1650 La Jolla Rancho Road, La Jolla, California 92037
	Citizenship:	United States of America
<b>;</b>	Post Office Add	dress: 1650 La Jolla Rancho Road, La Jolla, California 92037
Date	Name:	Xiao-Dong Xiang
	Residence;	1036 McCauley Road, Danville, California 94526-1970
	Citizenship:	United States of America
	Post Office Add	dress: 1036 McCauley Road, Danville, California 94526-1970
Date	Name:	Isy Goldwasser
	Residence:	580 Arastradero Road #505, Palo Alto, California 94306
	Citizenship:	United States of America
	Post Office Add	lress: 580 Arastradero Road #505, Palo Alto, California 94306
Date	Name:	Gabriel Briceno
	Residence:	13504 Rexwood Avenue, Baldwin Park, Califonia 91706
	Citizenship;	United States of America
		ress: 13504 Rexwood Avenue, Baldwin Park, Califonia 91706
5/16/03		-
Date	Name:	Xiao-Dong Sun
	Residence:	33288 Jamie Circle, Fremont, California 94555
	Citizenship:	People's Republic of China
•	Post Office Add	ress: 33288 Jamie Circle, Fremont, California 94555
Data	Name:	Kai-An WANG
Date	Residence:	1082 West Hill Court Cupertino, California 95014
	Citizenship:	United States of America
	Post Office Addr	ress: 1082 West Hill Court Cupertin , California 95014

#### **ASSIGNMENT**

LBNL Case No.: 1069

Symyx Ref.: 94-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S)

#### 1. Kai-An WANG

hereby sells, assign(s) and transfer(s) to ASSIGNER, The Regents of The University of California, a California Corporation, having its statewide administrative offices located at 1111 Franklin Street, 12<sup>TH</sup> Floor, Oakland, CA 94607-5200 and the successors, assigns and legal representatives of the ASSIGNEE all of its right, title and interest for the United States and its territorial possessions and in all foreign countries in and to, any and all improvements which are disclosed in the invention entitled:

#### COMBINATORIAL SYNTHESIS OF NOVEL MATERIALS

and which is found in

U.S. Patent No. 5,985,356, issued November 16, 1999

and any legal equivalent thereof in a foreign country, including the right to claim priority and, in and to, all Letters Patent to be obtained for said invention by the above application or any continuation, division, continuation-in-part, extension or substitute thereof, and any reissue, reexamination or extension of said Letters Patent and all rights under all International Conventions for the Protection of Industrial Property;

ASSIGNOR(S) hereby covenants that no assignment, sale, agreement or encumbrance has been or will be made or entered into which would conflict with this assignment;

ASSIGNOR(S) further covenants that ASSIGNEE will, upon its request, be provided promptly with all pertinent facts and documents relating to said invention and said Letters Patent and legal equivalents as may be known and accessible to ASSIGNOR and will testify as to the same in any interference, litigation, or proceeding relating thereto and will promptly execute and deliver to ASSIGNEE or its legal representative any and all papers, instruments or affidavits required to apply for, obtain, maintain, issue or enforce said application, said invention and said Letters Patent and said equivalents thereof which may necessary or desirable to carry out the purposes thereof. Any attorney of record is authorized and requested by the execution of this assignment to insert into this assignment the filing date and serial number of said application when officially known.

AND the <u>ASSIGNOR(S)</u> requests the Commissioner of Patents and Trademarks to issue said Letters Patent of the United States and any reissue or extension thereof to the ASSIGNEE, The Regents of The University of California.

executed this:

day of April , 200

Signature of Inventor(s)

Kai-An WANG

#### ASSIGNMENT

LBNL Case No.: 1069 Symyx Ref.: 94-1

For good and valuable consideration, the receipt of which is hereby acknowledged, ASSIGNOR(S)

1. Xi2o-Dong SUN

#### 2. Gabriel BRICEÑO

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executed this:	Signature of Inventor(s)
day of	Xiao-Dong SUN
117 day of September, 2002	Thetastry
	Gabriel BRICENO

#### ASSIGNMENT

LBNL Case No.: 1069

Symyx Ref.: 94-1

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#### 1. Xiao-Dong SUN

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executed this:		Signature of Inventor(s)		
27 day of <u>C</u>	,2002	Xiao-Dong SUN		
day of	, 2002	Gabriel BRICENO		

pa-723134

			CER	TIFICATE UNDER 37 C.F.R. §3.73(b)	10416.105012
Applica	nt: <u>Pet</u>	er G. Schultz, et	al	<u> </u>	
Applicat	tion No	.: <u>10/074,745</u>	Filed: Febru	uary 11, 2002	_
For: Co	mbinat	orial Synthesis o	f Novel Materials		_
The Ree	ents of	the University of ame of Assignce)	f California	, a corporation (Type of Assignee e.g., corporation, partnership, university, government agency, etc.)	<del></del> ,
and	(24	auc of Assignee)		(Type of Assignee e.g., corporation, partnership, university, government agency, etc.)	
Symyx 7	<u>[echnol</u>	ogies, Inc.		, a corporation	
	(N	ame of Assignee)		(Type of Assignee e.g., corporation, partnership, university, government agency, etc.)	_
certify th	nat they	are the assignee	s of the entire rigi	ht, title and interest in the patent application identified above by virtue of e	ither:
(X) A.	An a	ssignment from Trademark Offic	the inventor(s) of e at Reel 7518, Fi	the patent application identified above. The assignments were recorded in rame 0522 and Reel 7518, Frame 0103, or for which a copy thereof is attact	the Patent ched.
OR					
( ) B.	A ch	ain of title from	the inventor(s), of	f the patent application identified above, to the current assignee as shown	below:
	1.	From-	•	Tor	
	••	The document	was recorded in t	To: the Patent and Trademark Office at	
				or for which a copy thereof is attached.	
	2.				
	۷.	From: The document	was recorded in t	To: To: the Patent and Trademark Office at	
				, or for which a copy thereof is attached.	•
	2	Γ			
	3.	riom:	was recorded in t	To: the Patent and Trademark Office at	
				or for which a copy thereof is attached.	
	( )	Additional docum	ents in the chain	of title are listed on a supplemental sheet.	
	()	Copies of assignm	nents or other doc	cuments in the chain of title are attached.	
The assignees	gnees h	ave reviewed all ledge and belief,	the documents is title is in the assi	n the chain of title of the patent application identified above and, to the gnees identified above.	best of the
The unde	rsigned	(whose titles are	supplied below)	are authorized to act on behalf of the assignees.	
Date:		5-28-03		Date:	
		d J. Aston			
Title:		f Patent Co	·	•	
1 iue:			diiber	Title:	
Signature	: <i>V</i>	Day 1	<u> </u>	Signature:	
		Patent Depar Lawrence Be One Cyclotro Mail Stop 90 Berkeley, CA	rkeley Laborato n B	ory .	·

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